



Cornell University

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# Model Discrimination with the CMS Detector: a Case Study

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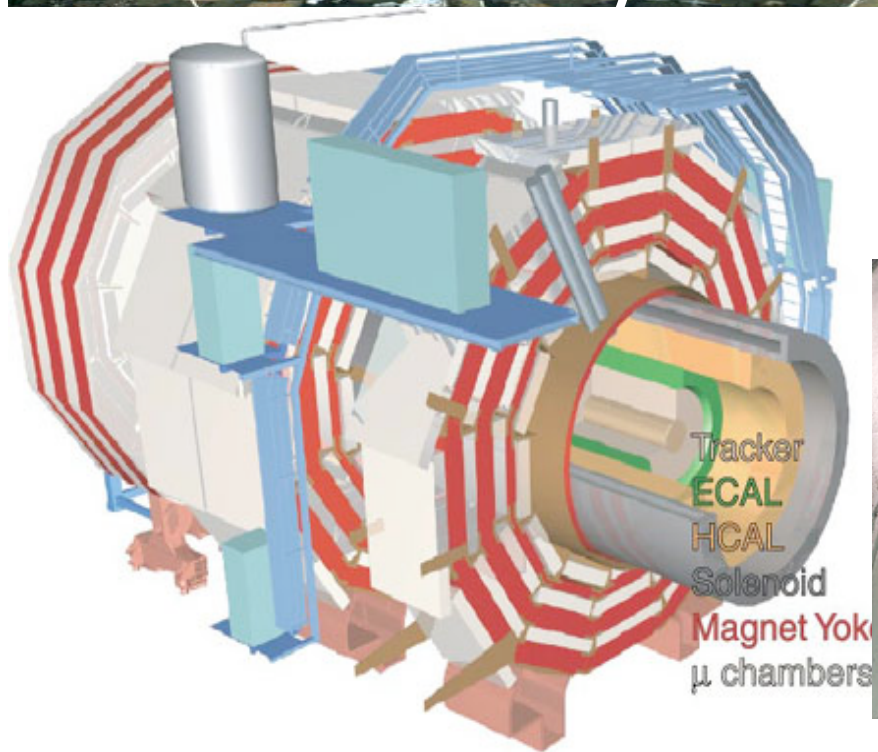
Particle Physics Seminar

3. Physikalisches Institut, RWTH Aachen

5/8/2009

*Perelstein, Spethmann, JT, Vaughan, Hallenbek  
arXiv:0812.3135 [hep-ph], to appear in PRD*

# The Large Hadron Collider (LHC) at CERN



# First years of LHC data

- Begin running with a large number of possible New Physics extensions to the SM
- Strong limitations on our understanding of first data sample
  - Small statistics
  - Poorly understood detector, immature simulation
  - Primitive triggers, jets, flavor tagging,..
- If we see excess over SM predictions, what do we do next to identify the correct New Physics model
  - What are the most powerful model discriminators?
  - How do we deal with the large parameter space of the many NP models?

# This study

- pick a simple signature with good prospects for clear excess over SM prediction
  - Many New Physics models make a compelling case for pair production of exotic particles decaying to jets + Missing energy (+ X) at the TeV scale
  - Same experimental signature predicted for many of them (“**Look-alikes**”)
  - Difference in *spin* of exotic particles
- Can we exclude classes of NP models based on spin information, assuming realistic conditions? How sensitive are we to exp. effects?

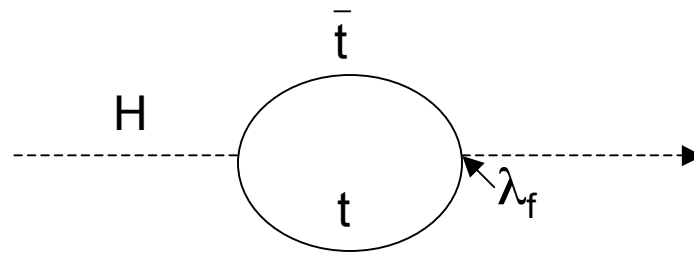
*Perelstein, Spethmann, JT et al arXiv:0812.3135 [hep-ph], to appear in PRD,  
See also: Lykken, Spiropulu, Hubisz, et al Phys.Rev.D78:075008 .*

# Jets + Missing Energy (+X) at LHC:

- Why do we think we will see signs of New Physics at the LHC?
- Plausible extensions to the SM that result in this signature:
  - MSSM
  - Little Higgs with T-parity
  - UED,...

# “Hierarchy”-Problem

- As the Higgs propagates, it interacts virtually with all particles it can couple to, e.g. Fermions
- this will contribute to the Higgs mass (“radiative corrections”)



- Higgs mass can receive enormous corrections proportional to the largest scale in the theory (“Planck Mass”,  $10^{19}$  GeV)

$$\Delta m_H^2 = \frac{|\lambda_t|^2}{16\pi^2} (-\Lambda_{UV}^2 + \dots)$$



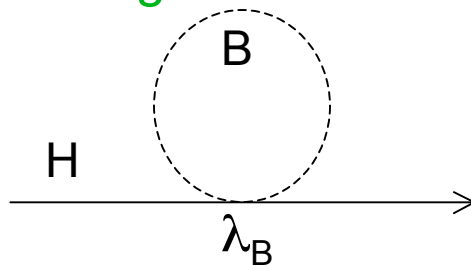
# One plausible solution: Supersymmetry

A symmetry which relates bosons to fermions:

$$Q|Boson\rangle = |Fermion\rangle$$

$$Q|Fermion\rangle = |Boson\rangle$$

- We know that a boson loop would contribute to  $\Delta m_H$  with **opposite sign**



$$\Delta m_H^2 = \frac{\lambda_B}{16\pi^2} (\Lambda_{UV}^2 + \dots)$$

- This allows for **systematic cancellation** between Fermion and Boson loop contributions

$$\lambda_B = |\lambda_f|^2$$

- Supersymmetry implies that Fermions and Bosons come in “super”-multiplets, e.g. (**t (spin 1/2), t (spin 0)**)

# “Minimal” Supersymmetric Standard Model (MSSM)

- Minimal extension of SM that realizes Supersymmetry
- Superpartner for each SM d.o.f., most general SUSY-breaking terms
- Introduces a discrete R-parity (SM particles are even, superpartners are odd.)

Names	Spin	$P_R$	Gauge Eigenstates	Mass Eigenstates
Higgs bosons	0	+1	$H_u^0 H_d^0 H_u^+ H_d^-$	$h^0 H^0 A^0 H^\pm$
squarks	0	-1	$\tilde{u}_L \tilde{u}_R \tilde{d}_L \tilde{d}_R$ $\tilde{s}_L \tilde{s}_R \tilde{c}_L \tilde{c}_R$ $\tilde{t}_L \tilde{t}_R \tilde{b}_L \tilde{b}_R$	(same) (same) $\tilde{t}_1 \tilde{t}_2 \tilde{b}_1 \tilde{b}_2$
sleptons	0	-1	$\tilde{e}_L \tilde{e}_R \tilde{\nu}_e$ $\tilde{\mu}_L \tilde{\mu}_R \tilde{\nu}_\mu$ $\tilde{\tau}_L \tilde{\tau}_R \tilde{\nu}_\tau$	(same) (same) $\tilde{\tau}_1 \tilde{\tau}_2 \tilde{\nu}_\tau$
neutralinos	1/2	-1	$\tilde{B}^0 \tilde{W}^0 \tilde{H}_u^0 \tilde{H}_d^0$	$\tilde{N}_1 \tilde{N}_2 \tilde{N}_3 \tilde{N}_4$
charginos	1/2	-1	$\tilde{W}^\pm \tilde{H}_u^\pm \tilde{H}_d^\mp$	$\tilde{C}_1^\pm \tilde{C}_2^\pm$
gluino	1/2	-1	$\tilde{g}$	(same)
goldstino (gravitino)	1/2 (3/2)	-1	$\tilde{G}$	(same)

34 new particles



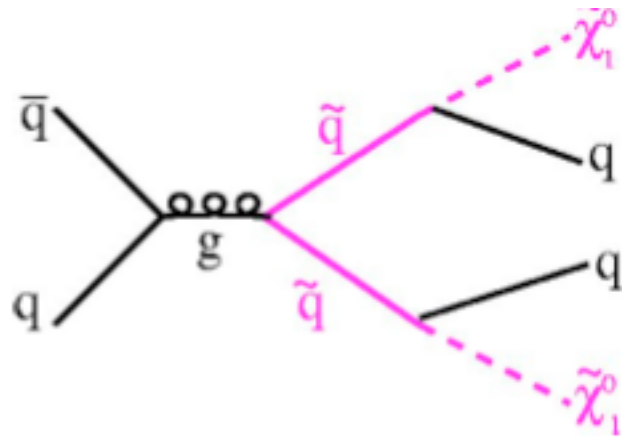
[table: S. Martin, hep-ph/9709356]

Table 7.1: The undiscovered particles in the Minimal Supersymmetric Standard Model (with sfermion mixing for the first two families assumed to be negligible).



# Generic MSSM predictions

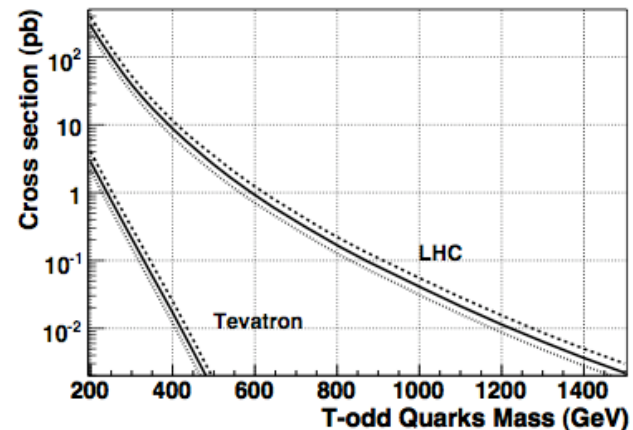
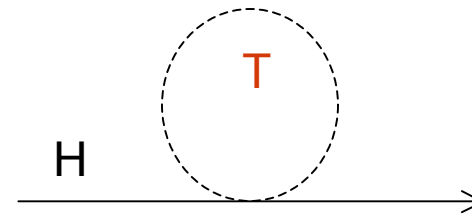
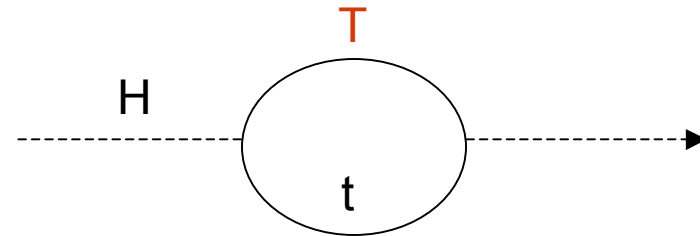
- All SM states are R-even, superpartners R-odd, so **superparticles need to be pair produced**, and Lightest SuperPartner (LSP) is stable
- LSP can be a WIMP dark matter candidate



# Another plausible Solution: Little Higgs Models with T-parity

Higgs Mass instability cancelled by particles of the same spin, e.g. **spin 1/2** “heavy top” **T**

- Consequence of symmetry structure
- Have to introduce T-parity to satisfy exp. constraints
  - T-odd partner for each SM particle (T-quarks, T-leptons)
- Lightest T-odd particle is **stable, spin-1** “heavy photon”
  - WIMP DM candidate



# Summary MSSM vs.LHT

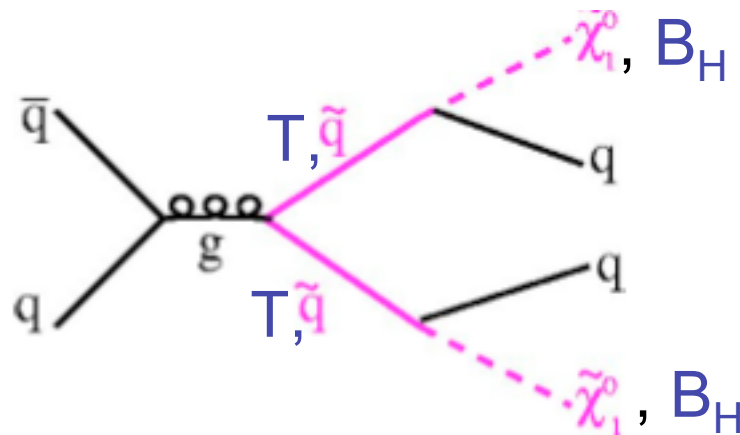
*Same for UED*

MSSM:

- “boson cancels fermion”
- Squarks have spin 0
- dark matter candidate  $\chi^0$  has spin 1/2

Little Higgs with T-parity:

- “boson cancels boson”
- T-quarks have spin 1/2
- dark matter candidate  $B_H$  has spin 1



“Look-alike” models

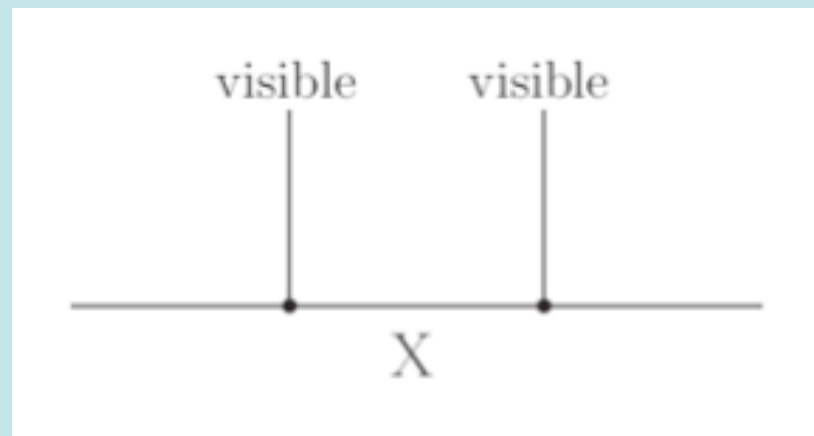
*Dominant Production at LHC: jets and missing energy*

## Similarly, many other extensions of the SM at the EWK scale possible

- Light Higgs and “mirror particles” at TeV scale
- Lightest New Particle (LNP) is stable and weakly interacting
- Same LHC phenomenology: *pair production* of new states which then decay down to LNPs and SM states
  - jets, MET (and leptons) in the detector

# What can we do to distinguish between them?

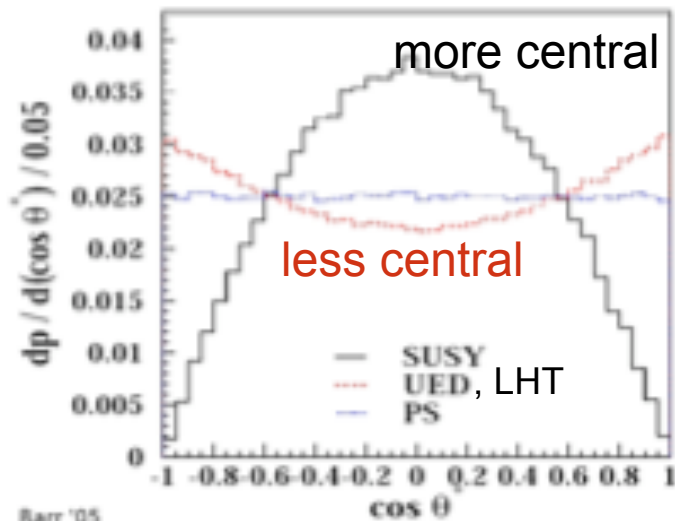
- Complete spectrum and coupling strengths hard to measure (ILC)
- Determine spins of new particles  $X$  through **angular correlations between decay products**, but notoriously difficult



# Pair Production of exotic particles

Angular distribution of decay products carries spin information.

- Total event rate provides information too (fermions have “more DOF” than scalars).



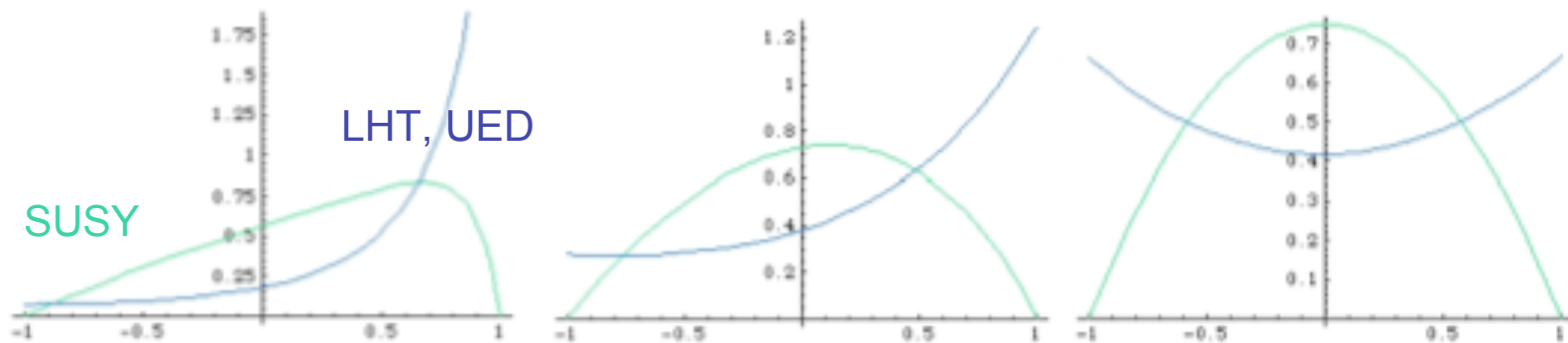
For example: Jets from spin-0 squarks more central (cons. of ang. mom.)

COM frame unknown: need boost invariant variables.

pseudorapidity  $\Delta\eta$  or  $\cos\theta^* \equiv \cos(2 \tan^{-1} \exp(\Delta\eta/2)) = \tanh(\Delta\eta/2)$

# Squark Pair Production

- Strong dependence of angular distributions on gluino mass
- Heavier gluino more favorable



(left to right:  $m(\tilde{g})/m(\tilde{q}) = 1.5, 3.5, 30$ ).

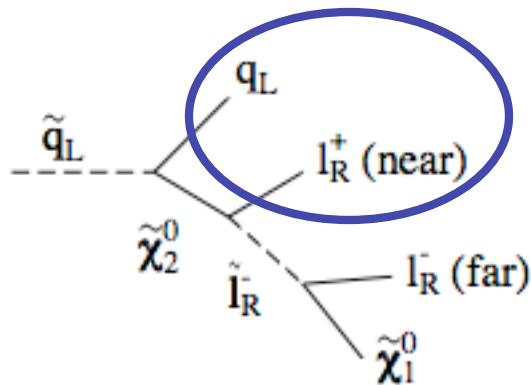
*Shown are quark-initiated processes only, in parton COM frame*



# Side note: spin correlations in cascade decay

*Barr hep-ph/0405052, ...*

- Almost all existing proposals to measure spin rely on **cascade decays**
  - E.g. use invariant mass of lepton and jet, since it depends on angle between  $q$  and  $l$  in  $\chi_2^0$  rest frame
- Studies so far ignore backgrounds and are done at generator level



problems: low rates  
and combinatorics

# Jets + MET: experimental challenges

- The Signal and its Backgrounds
- Experience from the Tevatron
- Plans for the LHC

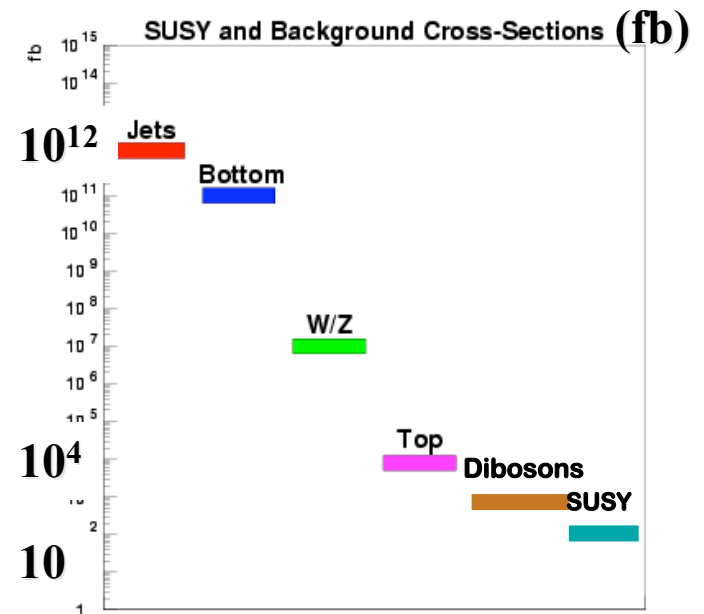
# Jets + MET: exp. signature

LNP escapes the detector and results in “missing transverse energy” (MET)

Signature: at least 2 jets, large MET and 0 leptons

Backgrounds:

- $Z(\nu\nu)+\text{jets}$ ,  $W+\text{jets}$ ,  $t\bar{t}$ 
  - Neutrinos give MET
  - Most have associated leptons
- QCD
  - MET from mis-measurement
  - Detector/Instrumental effects



# Physics Backgrounds, Tevatron Experience

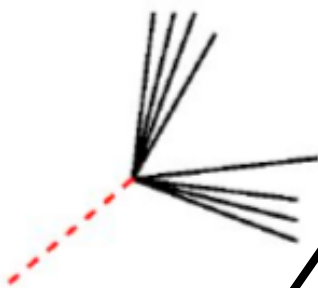
## QCD:

- MET from mis-measurement

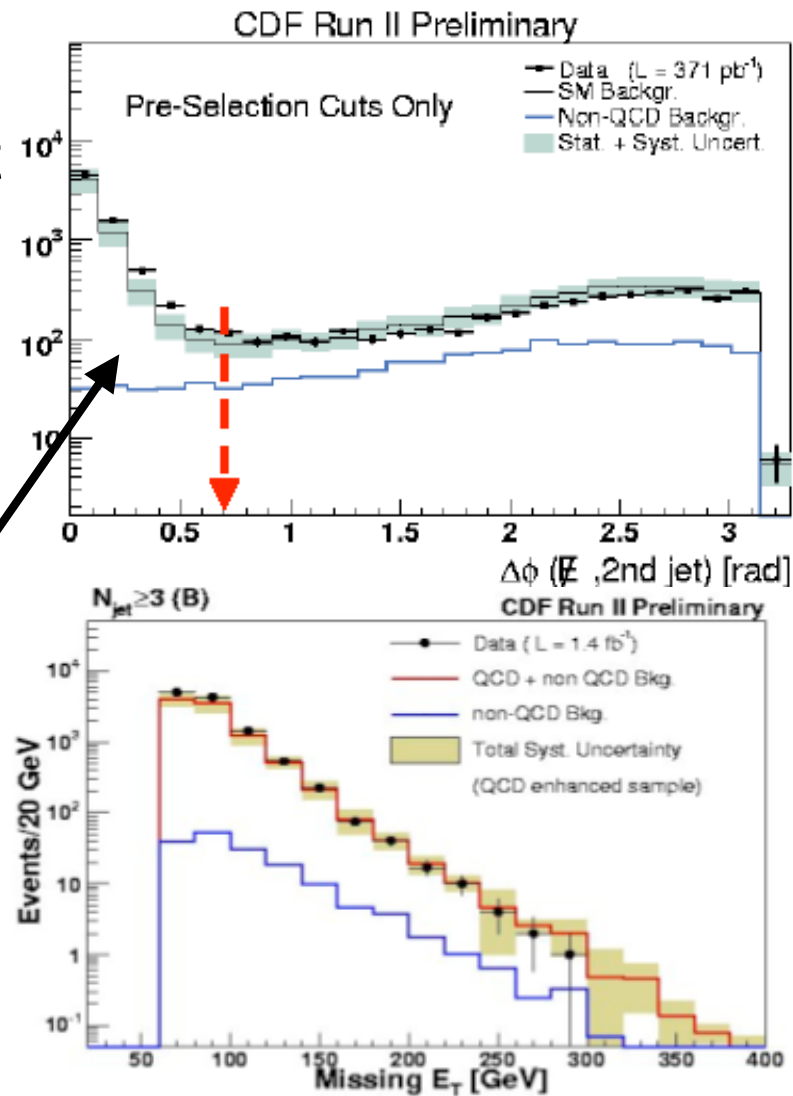
Background-like:  
 $\Delta\phi(\text{jet}, E_T^{\text{miss}}) \sim 0$



Signal-like:  
 $\Delta\phi(\text{jet}, E_T^{\text{miss}}) \gg 0$

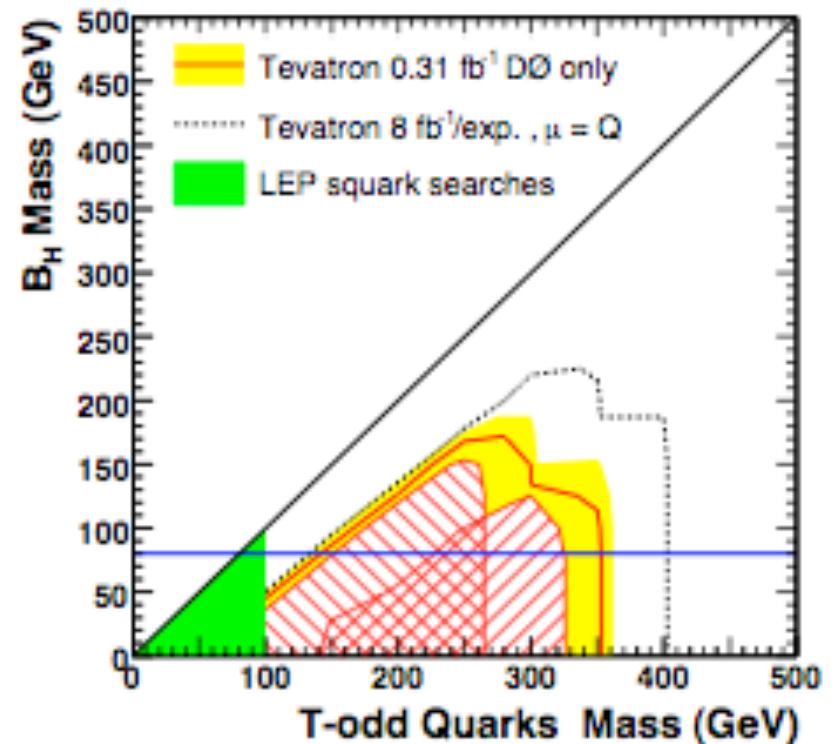
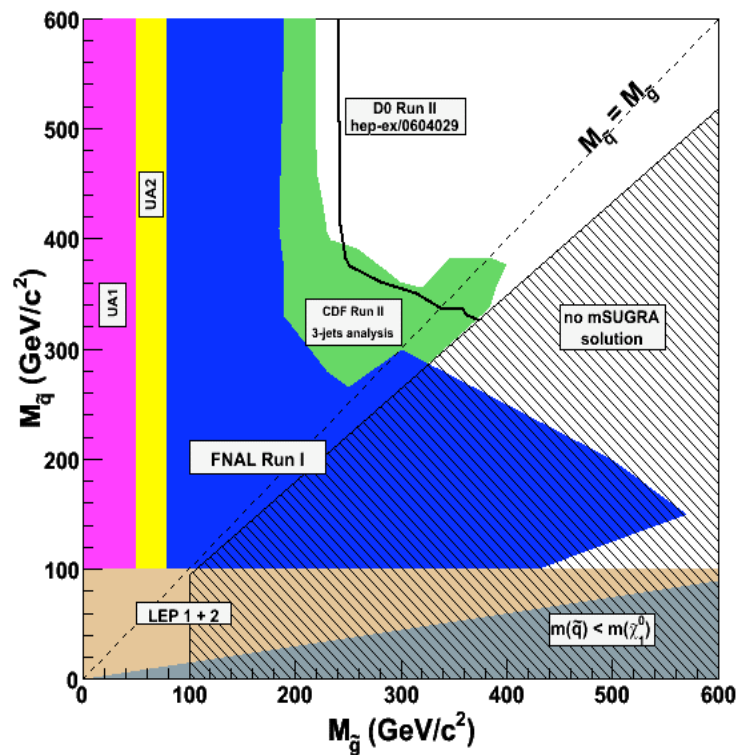


QCD control region used to understand and model jet background correctly, esp. high MET tails



# SUSY (and LHT) at Tevatron

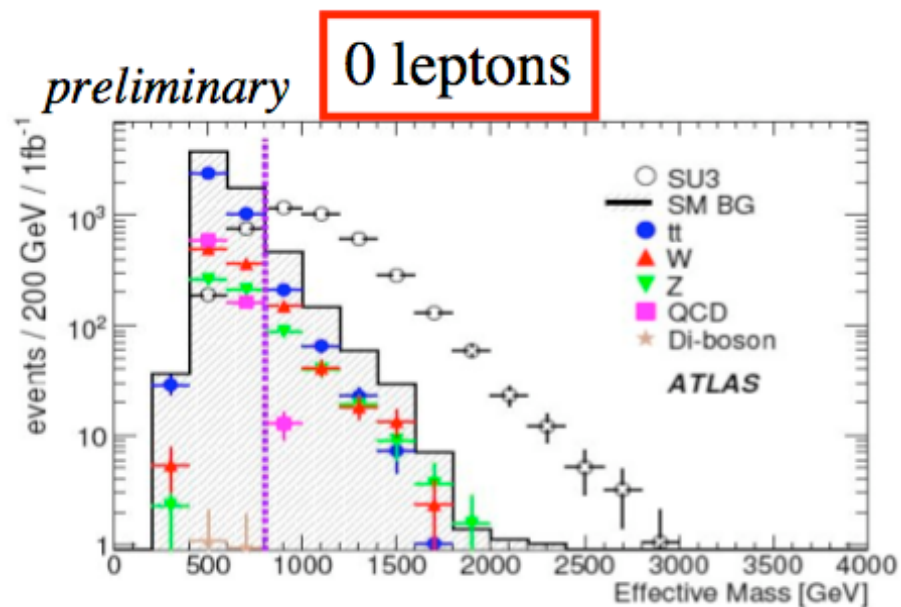
Roughly excluding  $m(\text{squark}) < 400 \text{ GeV}$ ,  
 $M(\text{T-quark}) < 400 \text{ GeV}$  in direct searches



# Projections for the LHC

Example: Atlas jet+MET analysis

- $m(\text{squark})=600 \text{ GeV}$ ,  $m(\text{gluino})=700 \text{ GeV}$
- Require jets, large MET and 0 leptons



*Note that “signal” here is optimized benchmark point*

# This Study

- Given the experimental challenge, can we use **measurements of angular jet correlations** to tell the **spin** of the underlying particle (squark or T-quark or ..) and thus exclude certain classes of NP models?
- How to deal with huge parameter space of each model?



# This study

- As a case study, we assume MSSM with certain parameter choice is true (“mock-data”)
  - Assume that MSSM squark pair-production dominates and that direct 2-body decays causes excess in 2 hard jets + large MET signature
- try to fit with a look-alike “wrong model”, and **scan over its parameter space**
  - Here: “look-alike” is Little Higgs Model
  - Use jet distributions as model discriminators
- **How much data would we need to exclude the wrong model? How sensitive are we to experimental effects?**

# Our “data” point

- the following MSSM parameters create chosen “data” signature

$$\begin{aligned}m(\tilde{Q}_L^{1,2}) &= m(\tilde{u}_R^{1,2}) = m(\tilde{d}_R^{1,2}) = 500 \text{ GeV} ; \\m(\tilde{Q}_L^3) &= m(\tilde{u}_R^3) = m(\tilde{d}_R^3) = 1 \text{ TeV} ; \\m(\tilde{L}_L^{1,2,3}) &= m(\tilde{e}_R^{1,2,3}) = 1 \text{ TeV} ; \quad A_{\tilde{Q},\tilde{L}}^{1,2,3} = 0 ; \\M_1 &= 100 \text{ GeV} ; \quad M_2 = 1 \text{ TeV} ; \quad M_3 = 3 \text{ TeV} ; \\M_A &= 1 \text{ TeV} ; \quad \mu = 1 \text{ TeV} ; \quad \tan \beta = 10 .\end{aligned}$$

- Parameter choice motivated by **creating simple signature** (pair production of 1st generation squarks)
- Gluino relatively heavy, different than LM1 (SU3) benchmark point (light Gluino)!
- Cross-section is **~5 pb**

# Analysis Cuts

- Guided by CMS jets+MET SUSY analysis:
  - At least 2 jets
  - $P_{t_{\text{jet1}}} > 150 \text{ GeV}$ ,  $P_{t_{\text{jet2}}} > 100 \text{ GeV}$
  - $|\eta_{\text{jet1}}| < 1.7$  &  $|\eta_{\text{jet2}}| < 1.7$
  - $\text{MET} > 300 \text{ GeV}$  (corrected for jets)
  - No identified leptons in the event

# SM Backgrounds

- Selected SM background events for  $2\text{fb}^{-1}$  after cuts

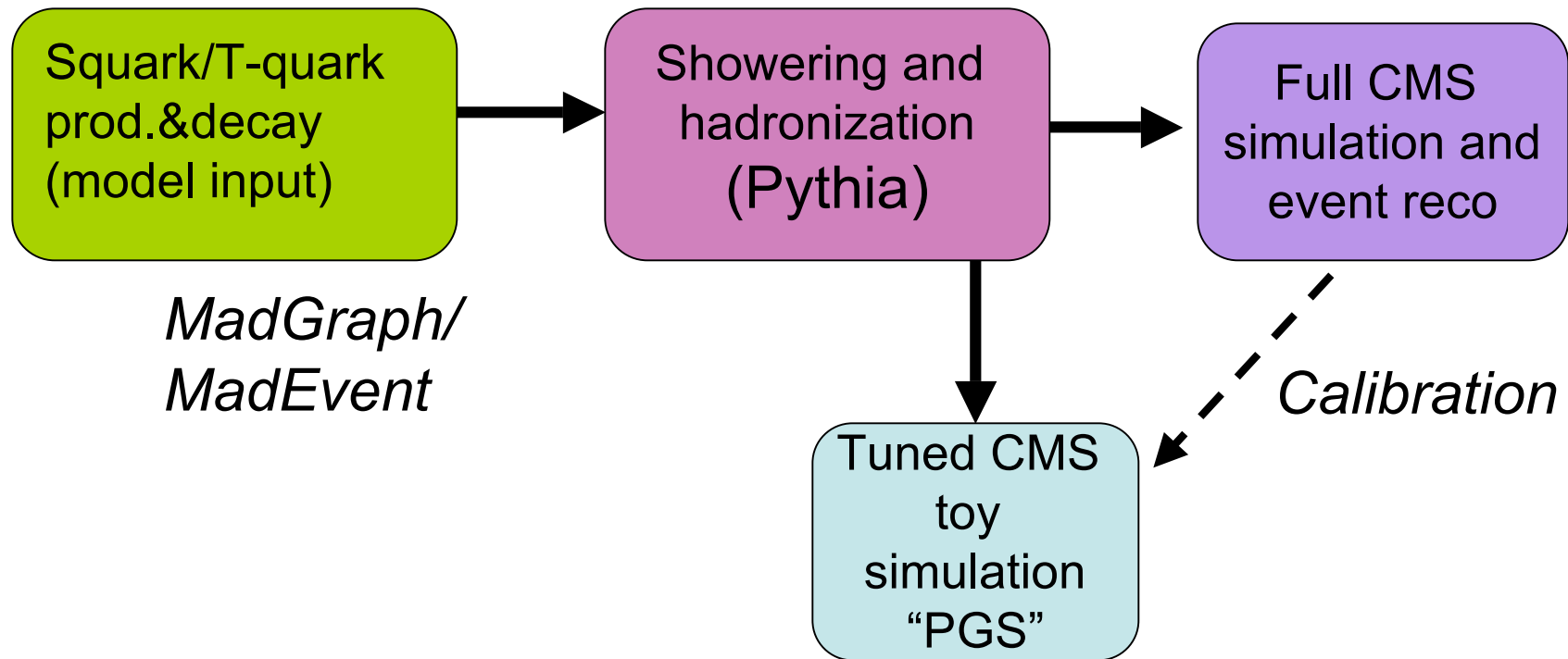
signal	Z( $\nu\nu$ )+jets	(W $\rightarrow\nu l$ )+2 jets	(W $\rightarrow\nu\tau$ )+1jet	ttbar	Total bkg
1296	746	396	85	72	1299

- Note: QCD background not considered, since simulation will not get this right at all
- Even though: **S/N only  $\sim 1-2$** 
  - heavy gluino results in **low signal cross-section**
  - We kept this “non-optimal” MSSM point as a generic (and realistic) case

# Fitting with the “wrong spin” model

- Pick 10 Observables that are sensitive to angular correlations
- Scan LHT parameter space to find the best fit point. LHT ruled out only if that point is ruled out.
- Each point in the scan requires MC simulation, **efficient and realistic simulation is the key**
  - using parametrized toy MC PGS (“pretty good simulation”) tuned for CMS detector

# Simulation Setup



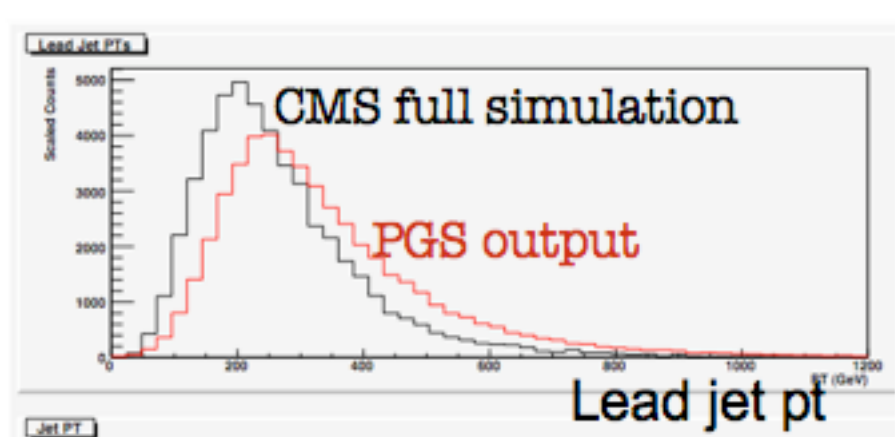
*MadGraph/  
MadEvent*

*Used to scan LHT parameter space, generate background samples*

# Tuned CMS toy simulation

For jet+MET signature the main issue are **jet energies**

- PGS jet distributions tuned by comparing to Full CMS simulation for MSSM data point and one LHT sample (100k events)
- Straightforward for high-pt jets (>100 GeV)





# corrections applied to PGS generated jet energy:

From CMS note 2006/036

“Measurement of Jets with the CMS Detector”

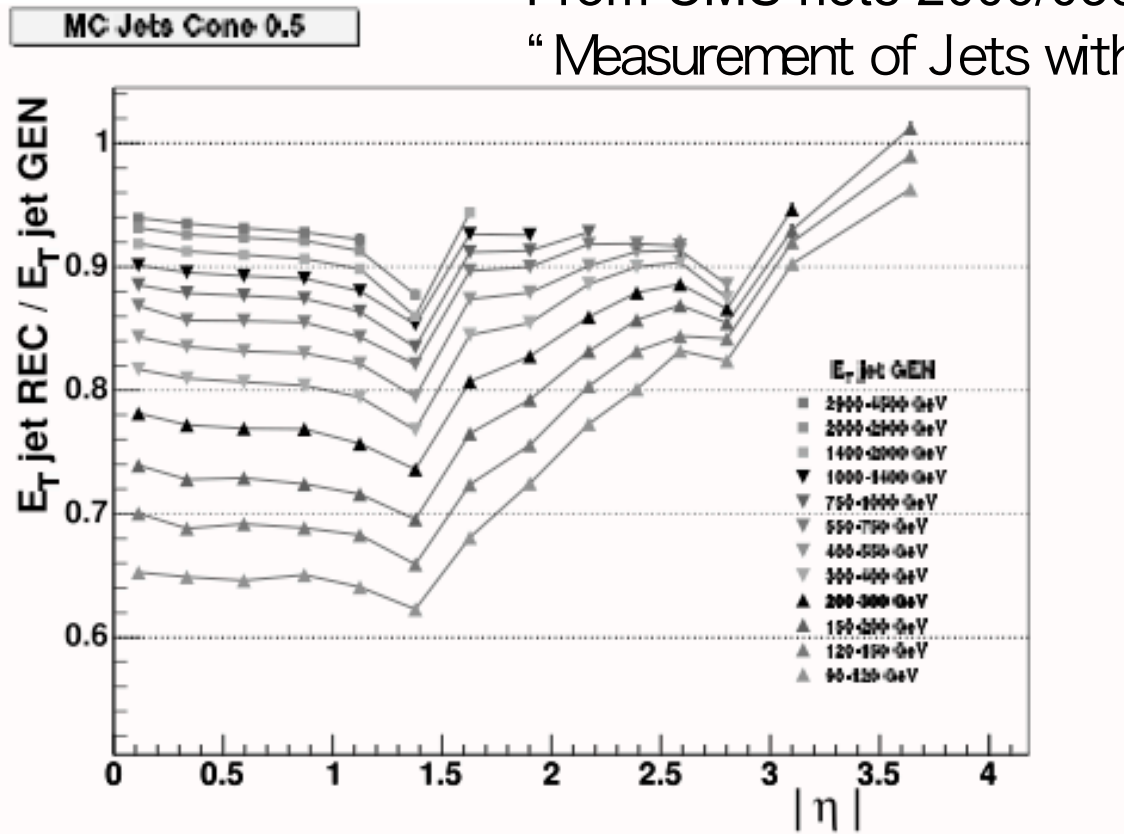
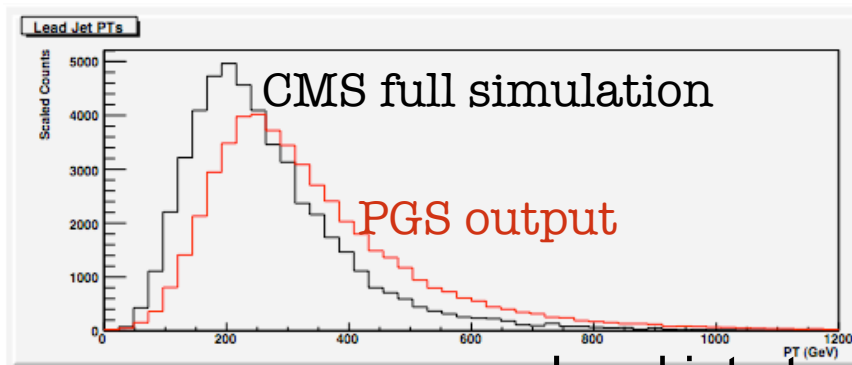


Figure 2: The ratio of the reconstructed jet transverse energy  $E_{T \text{ jet REC}}$  to the generated transverse energy  $E_{T \text{ jet GEN}}^{\text{MC}}$  as a function of pseudorapidity of generated jet  $|\eta|$  for jets with different  $E_{T \text{ jet GEN}}^{\text{MC}}$  reconstructed by the iterative cone  $R = 0.5$  algorithm before MC jet calibration.

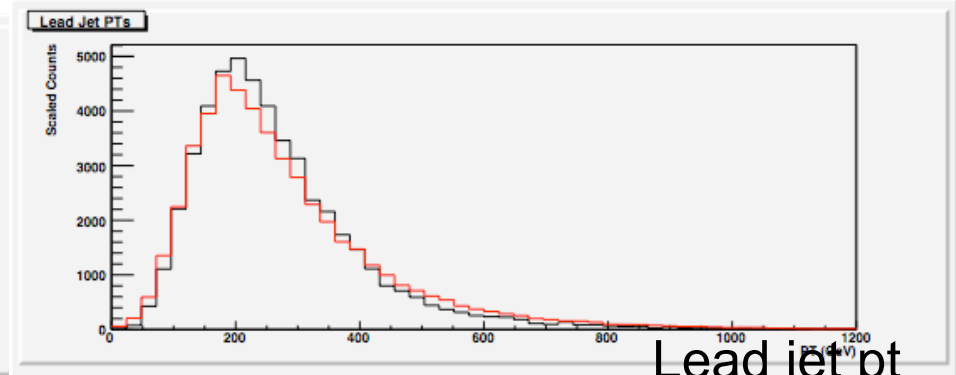
# Jet energy (MSSM)

before PGS jet energy scaling

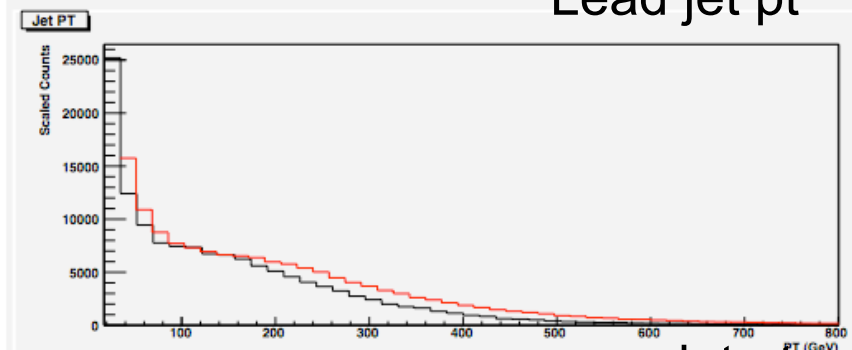
after PGS jet energy scaling



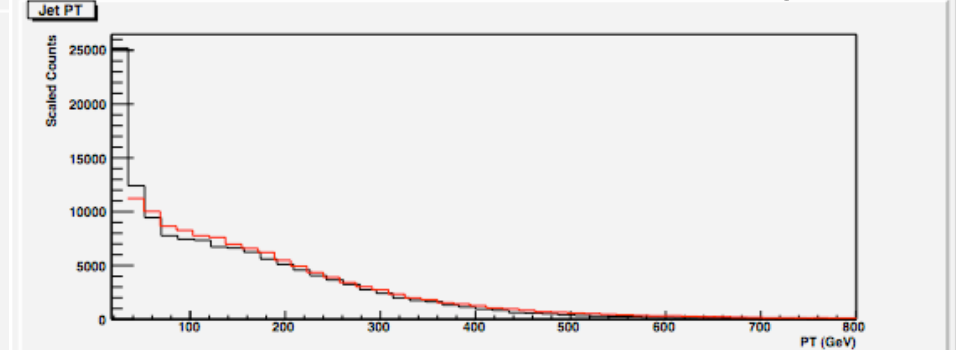
Lead jet pt



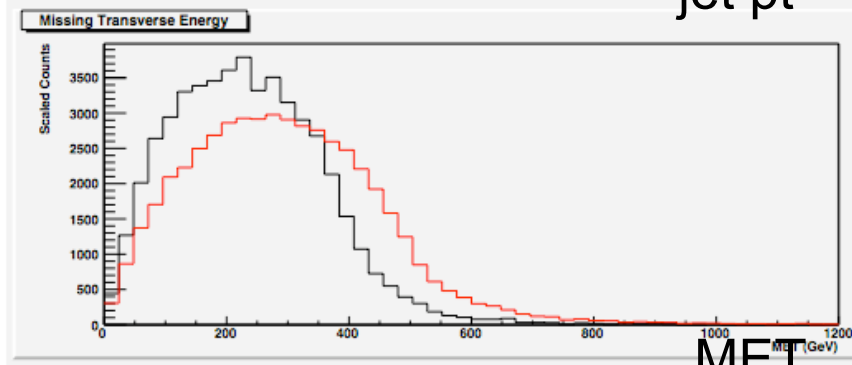
Lead jet pt



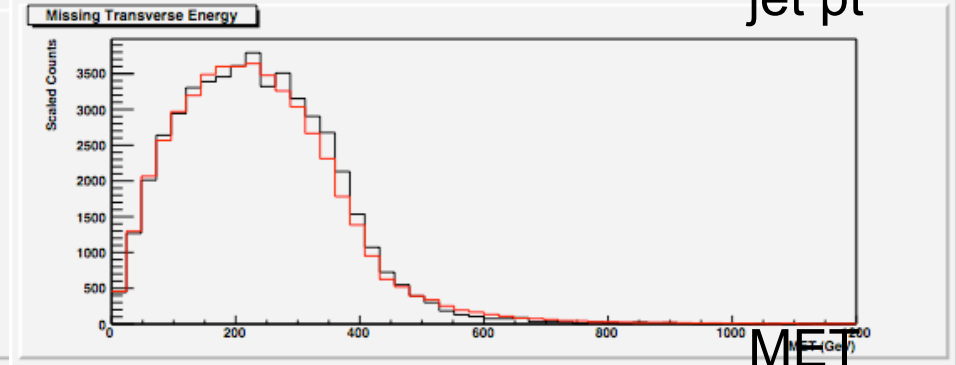
jet pt



jet pt



MET



MET

# Summary PGS toy simulation

- Validated using MSSM “data” sample and 1 LHT sample
  - 100k events each
  - Find very good agreement after tuning
- Vary T-quark mass and heavy photon mass (125 points in parameter space)
  - number of events in each sample correspond to  $10 \text{ fb}^{-1}$
- PGS used to generate the background samples
  - again cross-checked with Full Simulation results

# Observables

- Pick variables sensitive to angular correlations
- Found set of 10 (correlated) quantities

# 10 Observables

- 5 Asymmetries and ratios based on angular correlations
  - Use large bins of distributions for robustness
  - Use ratios of counts in different bins
- Additionally:  $\langle H_T \rangle$ ,  $\langle \text{MET} \rangle$ ,  $\langle p_t \rangle$ ,  $\langle \eta \rangle$
- Cross section
  - Calculated from total number of signal and background events after cuts

# Asymmetries, Ratios

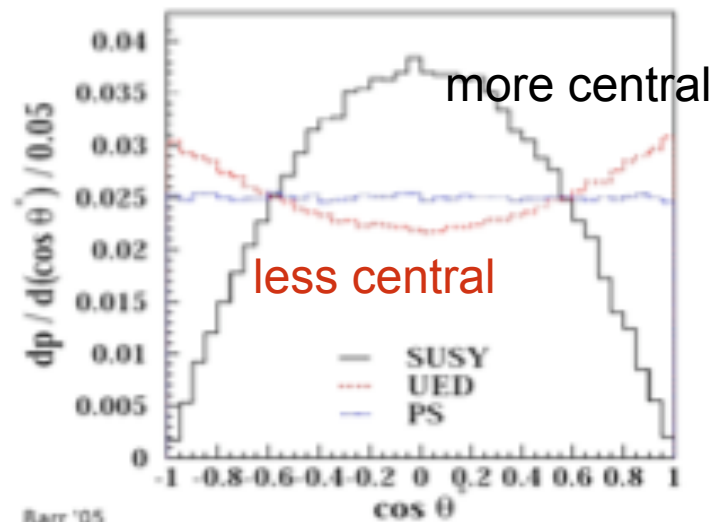
- Beamline asymmetry (alignment of 2 leading jets with the beam pipe)

$$BA = \frac{N_+ - N_-}{N_+ + N_-}$$

- $N_+$  ( $N_-$ ) is number of events with 2 lead jet  $\eta_1\eta_2 > 0$  ( $\eta_1\eta_2 < 0$ )
- Directional asymmetry (alignment of jets with each other)
  - let  $\theta$  be the angle between the two leading jets.  $N_+$  ( $N_-$ ) is number of events with  $\cos \theta$  positive (negative)
- Transverse Momentum Asymmetry
  - The ratio  $N_+/N_-$  of the number of jets with  $p_t$  larger than the average and the number of jets with  $p_t$  smaller than the average
- Transverse Momentum Bin Ratios
  - Distribute jets into 3  $p_t$  bins and define bin count ratios  $R_1 = N_2/N_1$  and  $R_2 = N_3/N_1$

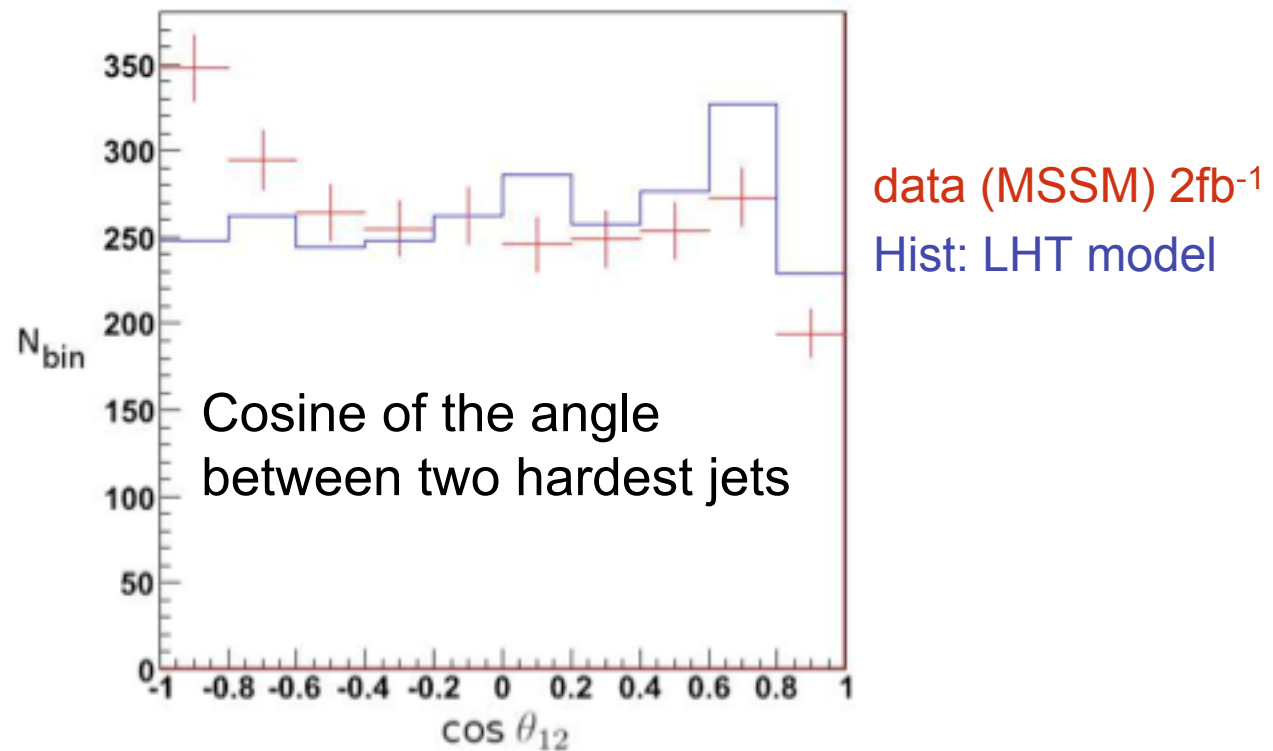
# Asymmetries

- $\Delta\eta_{jj}$  smaller for MSSM,  $\eta_1\eta_2 > 0$  more often
  - Beam Line (and Directional) asymmetry “more positive” for MSSM



$$\cos \theta^* \equiv \cos \left( 2 \tan^{-1} \exp(\Delta\eta/2) \right) = \tanh(\Delta\eta/2)$$

# example distributions at one LH point ( $M_Q = 500$ , $M_B = 100$ GeV)

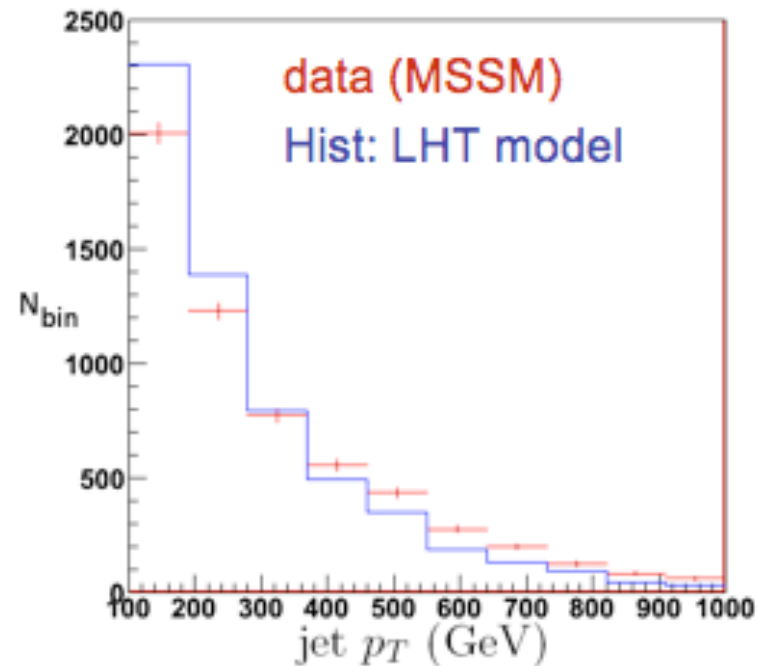


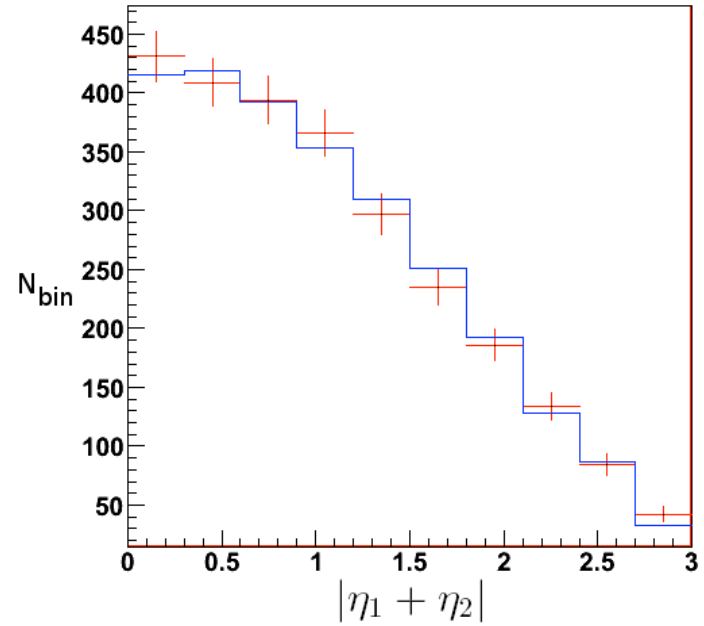
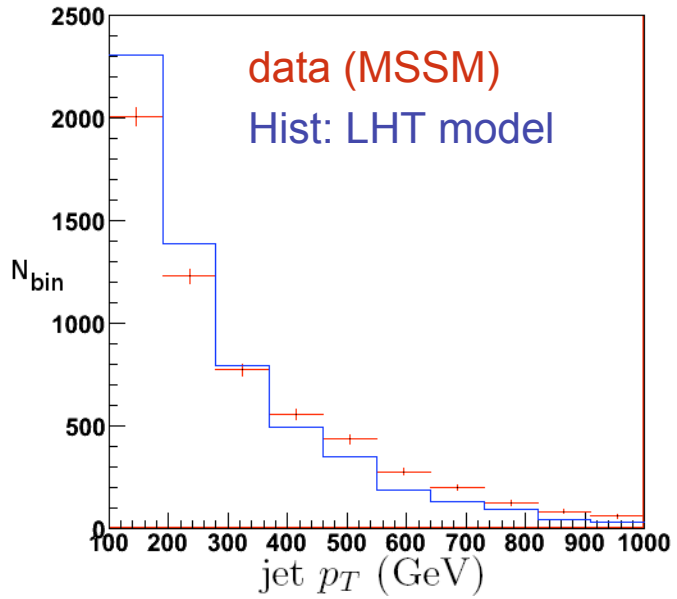
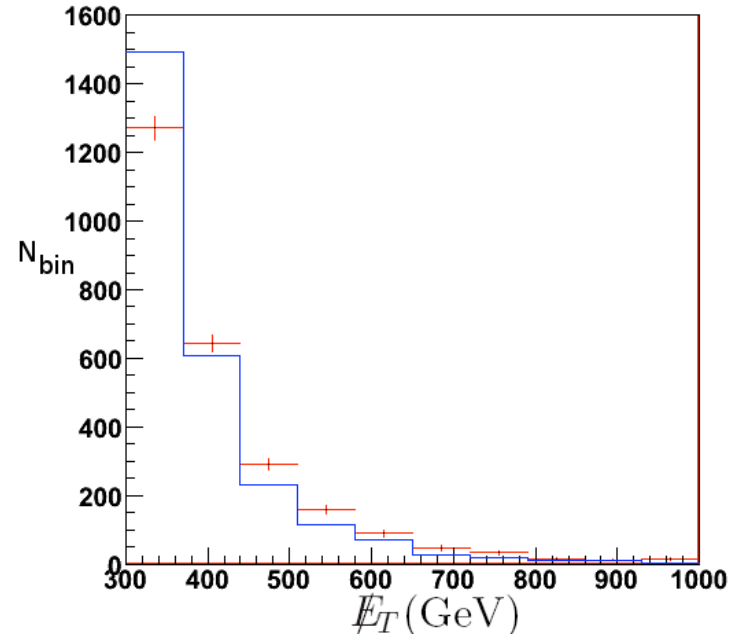
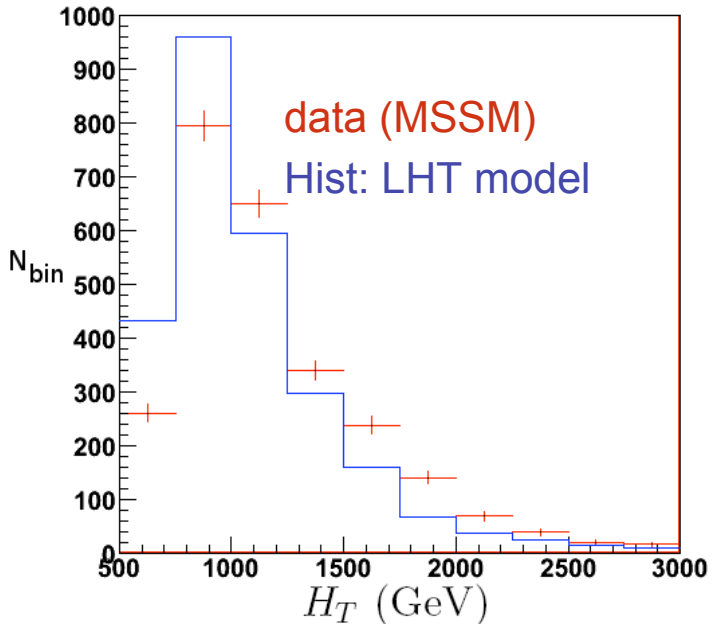
*Includes the background contributions*



# Observables: $p_T$

- Different  $p_T$  spectra of jets depending on squark/T-quark masses and angular distributions
  - e.g. for same jet energy, central jets have higher  $p_T$





# Syst. Uncertainties

- Jet energy and jet  $\eta$  uncertainty estimated using parameterizations from CMS TDR1

$$\sigma_{p_T} = \left( \frac{5.6}{p_T^{\text{PGS}}} + \frac{1.25}{\sqrt{p_T^{\text{PGS}}}} + 0.033 \right) p_T^{\text{meas}}$$

- Estimated systematic on the cross section measurement using luminosity uncertainty, pythia factorization and renormalization scale, **total ~30%**
- Note that we don't yet include systematic uncertainties on shapes - potentially large uncertainty

# Statistical Analysis

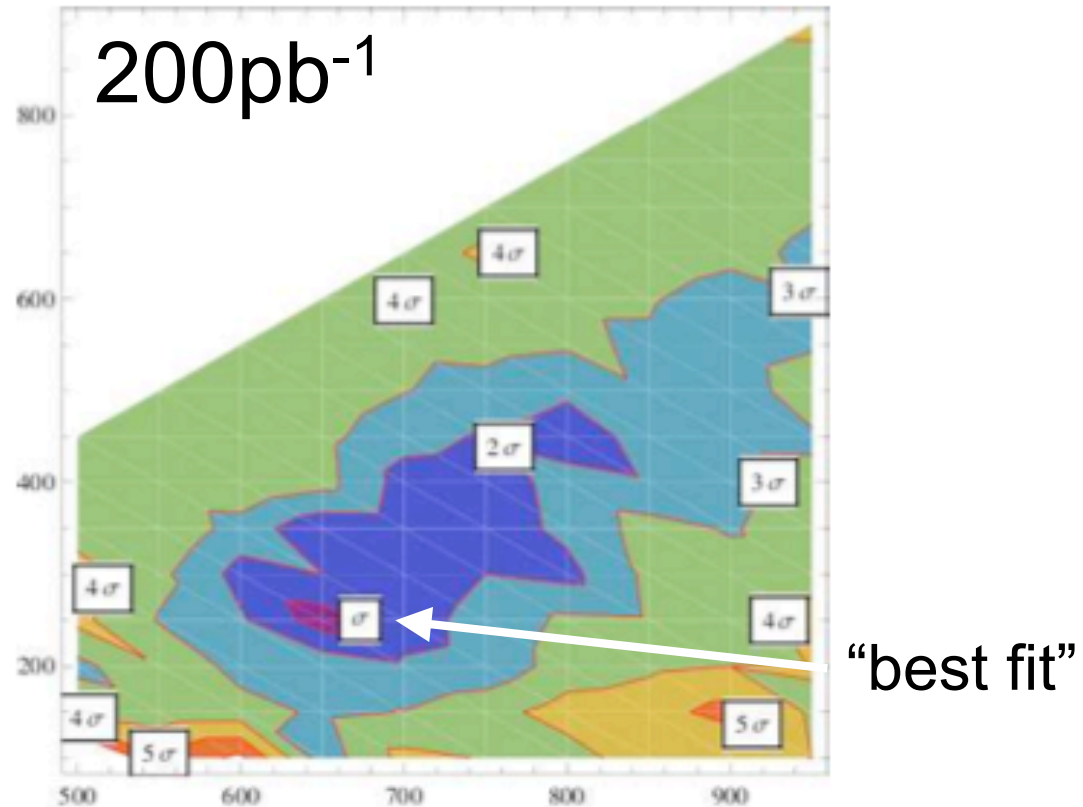
1. Compute “measured” value of observables using “data”
2. For each LHT point in the scan, we compute the expected central values
3. Use standard  $\chi^2$  technique to estimate quality of fit between expected and measured values
  - Assume observables to be gaussian distributed with stat. and syst errors
  - Correlation matrix obtained from MC samples
4. Can convert each  $\chi^2$  value into probability that disagreement between model and data is the result of fluctuation

# Results

- Expressed as “Exclusion Plots”
  - For each of our scan points, at which confidence level can we exclude the look-alike model from our data point?

# Exclusion Plots

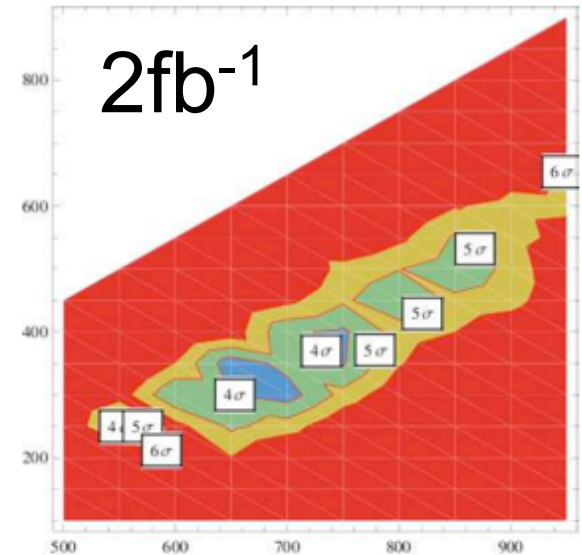
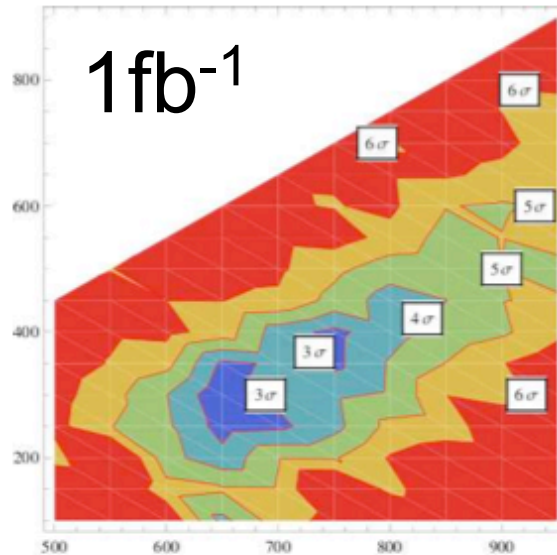
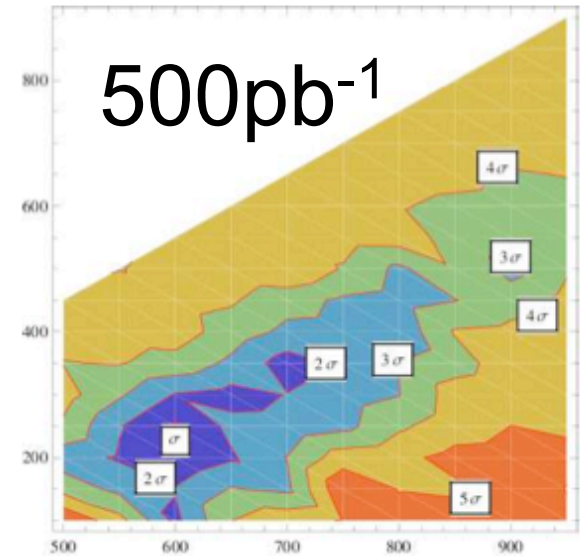
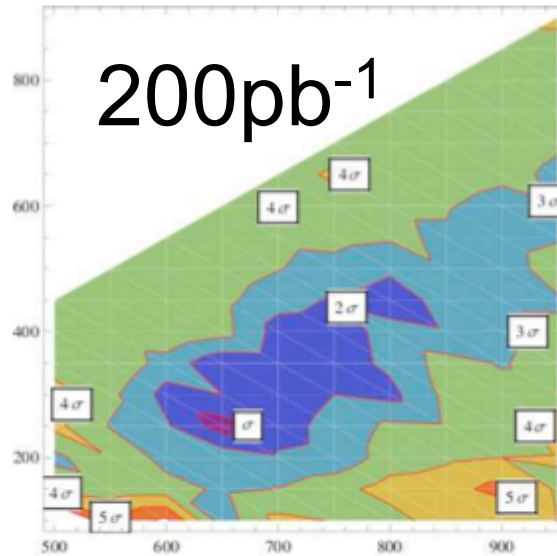
- Combined fit to 10 observables
- Y-axis: heavy photon ( $B_H$ ) mass
- X-axis: heavy T-quark mass



Green: 4 $\sigma$  deviation between “data” and LHT model  
Light blue: 3 $\sigma$  deviation  
dark blue: 2 $\sigma$  deviation, etc..

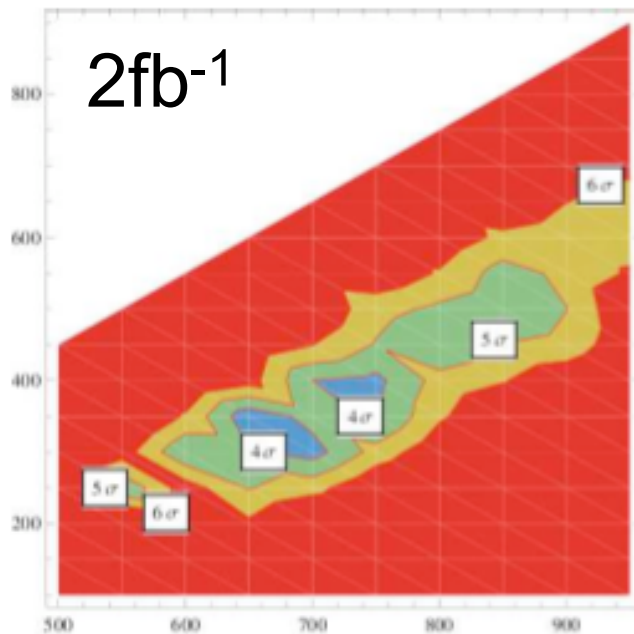
# Exclusion Levels

- Combined fit to 10 observables
- Y-axis: heavy photon ( $B_H$ ) mass
- X-axis: heavy T-quark mass

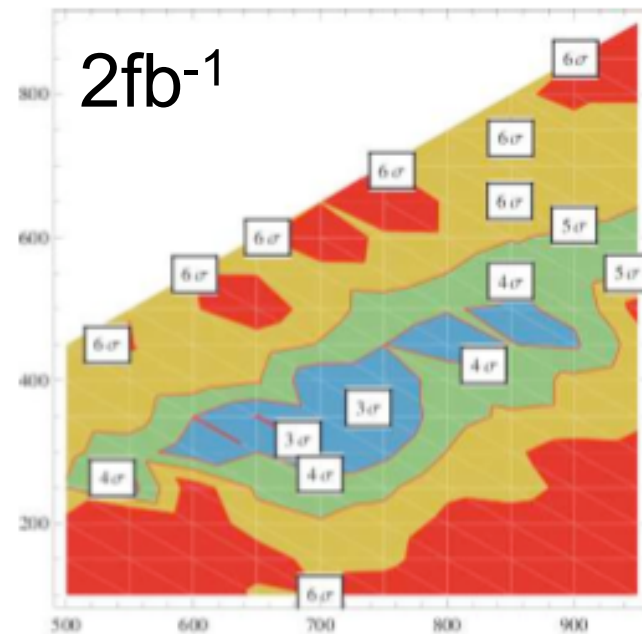


E.g “best fit” point: less than 1  $\sigma$  deviation from the “data”

# How dependent on individual observables?



Exclusion levels without cross-section info



..without <MET> and <H<sub>T</sub>



# Correlation matrix

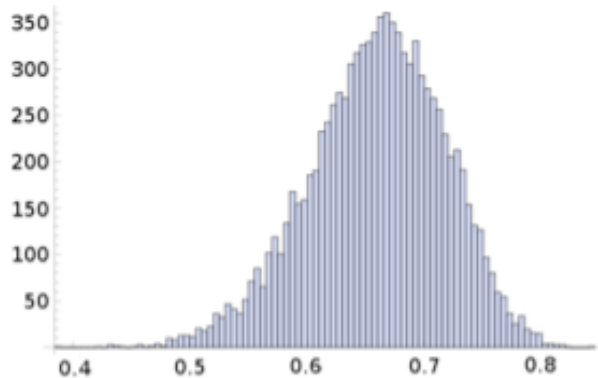
- Correlation between variables change  $\chi^2$  values of combined fit considerably
- Estimate correlations from the MC using bootstrapping method

	$\langle p_T \rangle$	$\langle H_T \rangle$	$\langle E_T \rangle$	$\langle  \Sigma\eta  \rangle$	BLA	DA	PTA	$R_1$	$R_2$
$\langle p_T \rangle$	1	0.86	0.42	-0.08	-0.03	-0.37	0.30	0.88	0.00
$\langle H_T \rangle$	0.86	1	0.66	-0.10	-0.05	-0.34	0.22	0.76	-0.06
$\langle E_T \rangle$	0.42	0.66	1	-0.04	-0.04	-0.06	0.05	0.35	-0.11
$\langle  \Sigma\eta  \rangle$	-0.08	-0.10	-0.04	1	0.64	0.50	-0.01	-0.07	0.02
BLA	-0.03	-0.05	-0.04	0.64	1	0.41	-0.02	-0.02	-0.00
DA	-0.37	-0.34	-0.06	0.50	0.41	1	-0.21	-0.38	-0.16
PTA	0.30	0.22	0.05	-0.01	-0.02	-0.21	1	0.22	0.64
$R_1$	0.88	0.76	0.35	-0.07	-0.02	-0.38	0.22	1	0.14
$R_2$	0.00	-0.06	-0.11	0.02	-0.00	-0.16	0.64	0.14	1

- Example: MSSM plus BKGD “data” ( $2\text{fb}^{-1}$  )

# Determine correlations

- Ideally generate every point in LH parameter space  $\sim 1000x$  to determine correlation between variables. Takes too long.
- Instead, subdivide each sample into small samples and determine correlation
  - pick 20 sets “with replacement” and repeat 10,000x
  - Get distribution of correlation matrix values plus error



Correlation between  $H_t$  and MET  
For 2 fb<sup>-1</sup> of SUSY plus BKGD events  
20 subsamples and 10,000  
repeats

# Summary

- Have developed the machinery to study exclusion levels of a New Physics model, given a data signal, backgrounds, and systematic uncertainties.
  - Based on angular correlations of decay products
  - Scans over parameter space of the model in question
  - First study of its kind
- Presented case study of a specific MSSM data signal and LHT “look-alike”
  - Uses “generic” (=non-optimal) MSSM point as “truth”
  - made a few simplifications:
    - Jets + MET signature assumed dominant
    - Backgrounds, toy MC, etc

# Conclusions

- Difficult task but not impossible: jet angular correlations “washed out” by background and jet reconstruction uncertainty
  - Will for example need considerable amount of data ( $>2\text{fb}^{-1}$ ) to reliably exclude large areas of “wrong-spin model”
  - Even then, need to combine information from many observables

# Lessons and Extensions

- Scanning parameters of the candidate model is crucial
- Improvements needed to make this study fully realistic
  - shapes of distributions assumed to be exact
  - Better/complete background estimates
  - Cross-check results with CMS FastSim
- Further studies
  - Sensitivity to masses in the “correct” model?
  - Repeat for signature with leptons
  - Fit to UED and other models

# Backup Slides

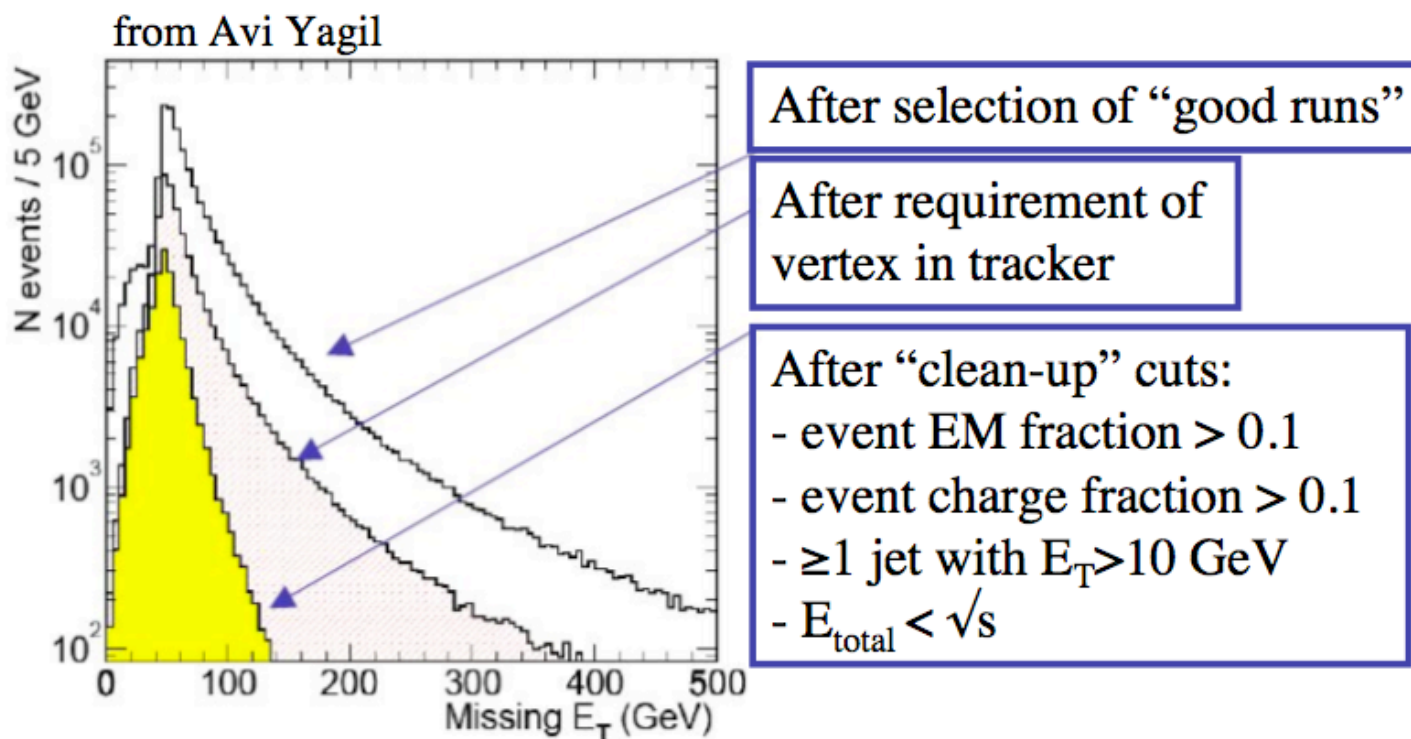
# Backgrounds

	$\sigma_{tot}$	$\sigma_1$	$\sigma_2$	$\sigma_3$	$\sigma_6$	$\sigma_7$	$N_{sim}$
Signal (SUSY)	5.00	4.98	4.10	2.91	2.06	0.65	10,037
$(Z \rightarrow \nu\nu) + jj$	271.54	259.73	94.05	64.34	10.21	0.20	543,080
$(W \rightarrow \nu\ell) + jj$	55.80	52.58	19.30	12.89	6.27	0.37	111,602
$(W \rightarrow \nu\tau) + j$	138.27	92.67	12.18	2.49	0.52	0.04	276,540
$t\bar{t}$	398.52	384.14	27.85	13.89	1.62	0.04	797,039
total BG	864.13	789.11	153.37	93.61	18.62	0.65	1,728,261

- Size of each sample corresponds to  $2\text{fb}^{-1}$  of LHC data
- Listing dominant backgrounds **EXCEPT QCD jet background with mis-measured MET**

# Instrumental Backgrounds

- Sources: Calorimeter noise, cosmic rays and beam halo muons showering hard in calorimeter
- From CDF experience: lengthy process to understand MET distribution





# Comparison to jet+MET TDR11 analysis

- For similar cuts TDR11 jet+MET analysis quotes  $S/N=26$  (factor 10 higher LM1 SUSY signal x-section because of light gluino)

Table 4.3: Selected SUSY and Standard Model background events for  $1 \text{ fb}^{-1}$

Signal	$t\bar{t}$	single $t$	$Z(\rightarrow \nu\bar{\nu}) + \text{jets}$	$(W/Z, WW/ZZ/ZW) + \text{jets}$	QCD
6319	53.9	2.6	48	33	107

# Main systematic: varying the pythia renormalization and factorization scale

Down

	MSSM	LHT
BLA	$0.149 \pm 0.006$	$0.090 \pm 0.006$
DA	$-0.025 \pm 0.009$	$-0.017 \pm 0.009$

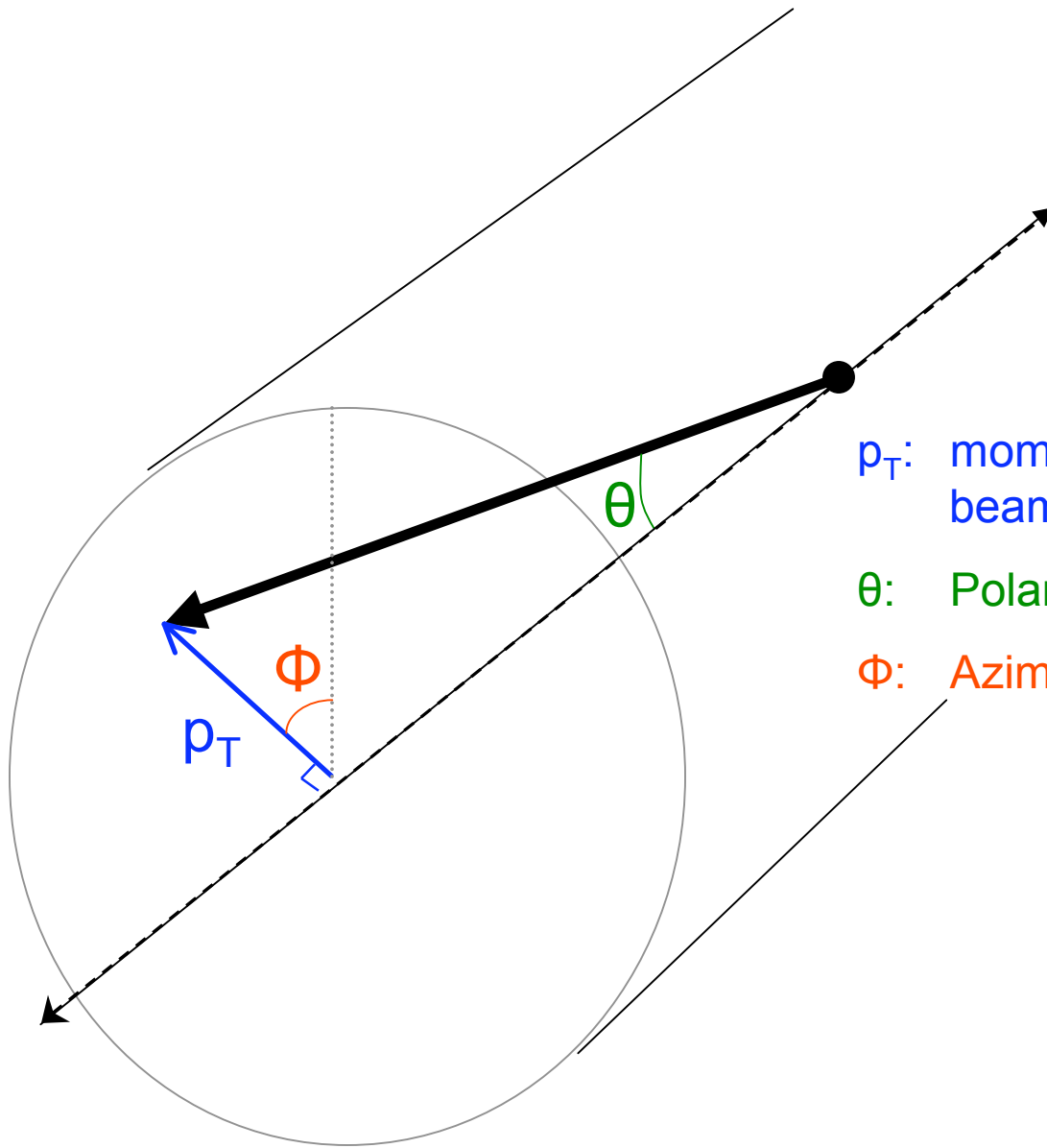
Mid

BLA	$0.147 \pm 0.006$	$0.106 \pm 0.006$
DA	$-0.051 \pm 0.009$	$-0.002 \pm 0.009$

Up

BLA	$0.159 \pm 0.006$	$0.103 \pm 0.006$
DA	$-0.029 \pm 0.009$	$-0.021 \pm 0.009$

This is a systematic effect of the order of  $\pm 0.02$  (we don't know the "correct" factorization scale)

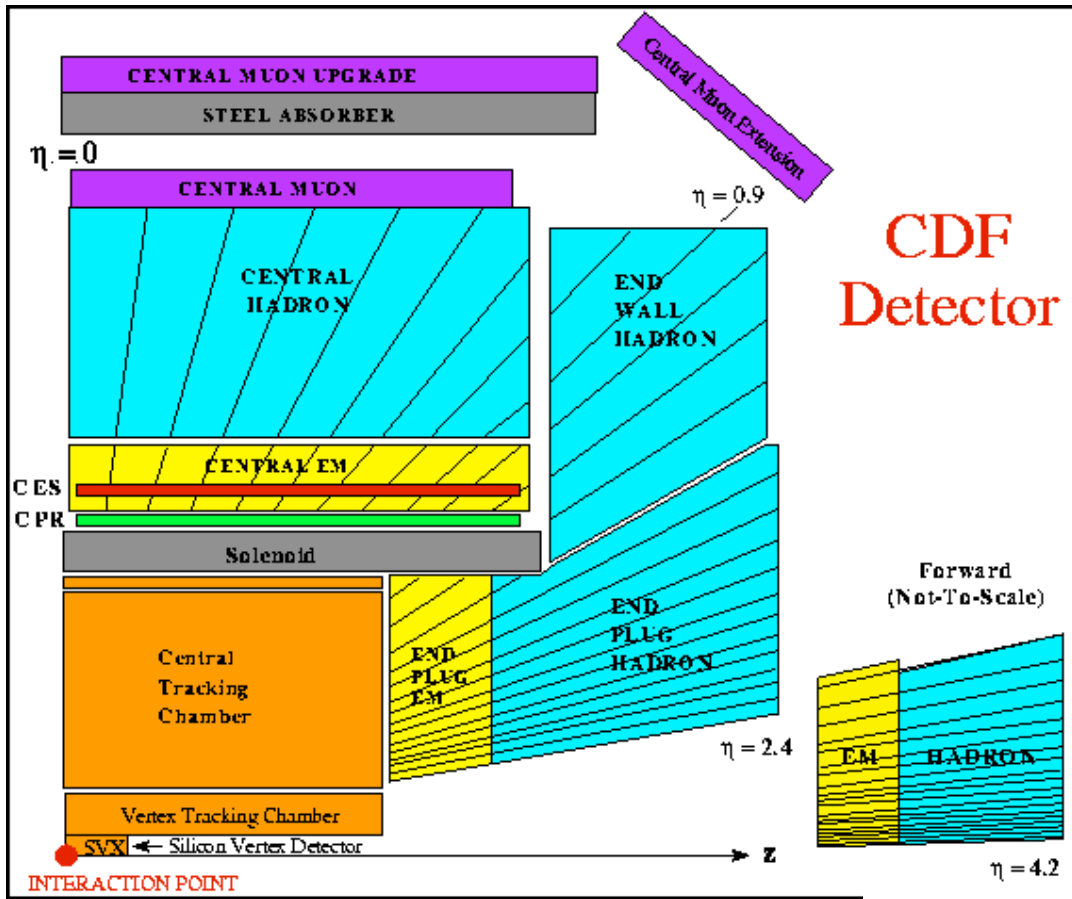


### Components

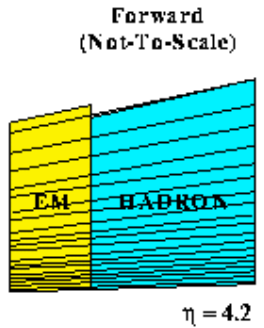
$p_T$ : momentum transverse to the beam axis

$\theta$ : Polar angle

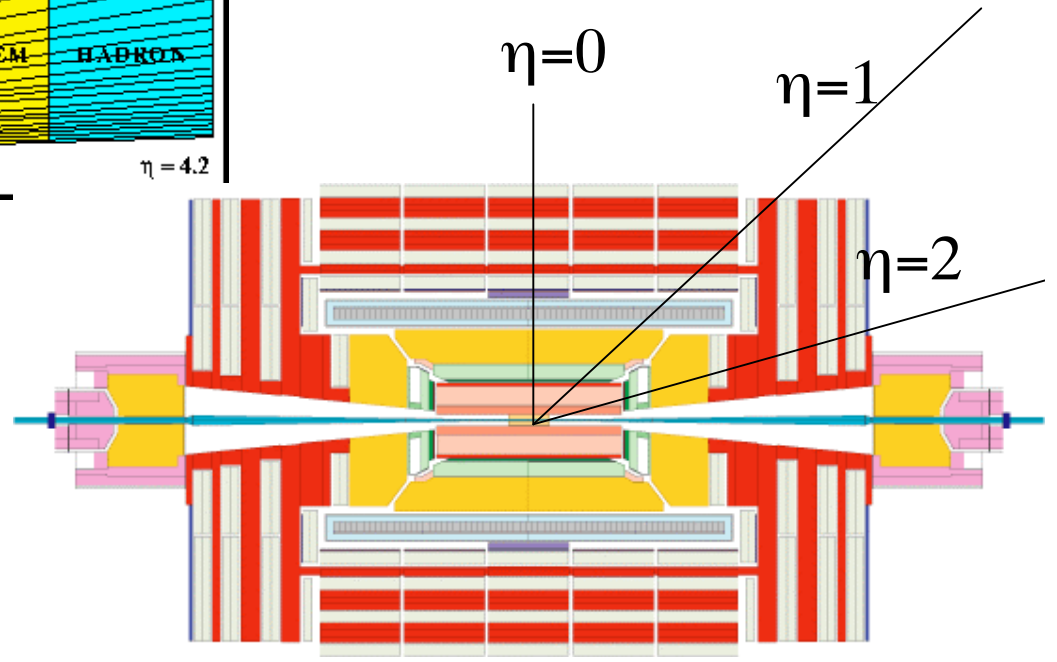
$\Phi$ : Azimuthal angle



# Pseudorapidity



CMS Detector:



## Before cuts

## After cuts

